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SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE

REPORT OF THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE ON THE WORK OF ITS THIRTEENTH SESSION (PART ONE) LYON, 11-15 SEPTEMBER 2000

CONTENTS

		Paragraphs	Page
I.	OPENING OF THE SESSION (Agenda item 1)	1 - 4	4
II.	ORGANIZATIONAL MATTERS (Agenda item 2)	5 - 8	4
	A. Adoption of the agenda	5 - 7	4
	B. Organization of the work of the session	8	6
III.	IMPLEMENTATION OF ARTICLE 4.8 AND 4.9 OF THE CONVENTION (DECISION 3/CP.3 AND ARTICLES 2.3 AND 3.14 OF THE KYOTO PROTOCOL) (Agenda item 3)	9 - 13	6
	MATTERS RELATING TO ARTICLE 3.14 OF THE KYOTO PROTOCOL (Agenda item 4)	9 - 13	6

		Paragraphs	Page
IV.	PROCEDURES AND MECHANISMS RELATING TO COMPLIANCE UNDER THE KYOTO PROTOCOL (Agenda item 5)	14 - 17	7
V.	ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE (Agenda item 6)	18 - 20	7
VI.	MECHANISMS PURSUANT TO ARTICLES 6, 12 AND 17 OF THE KYOTO PROTOCOL (Agenda item 7)	21 - 24	8
VII.	CAPACITY-BUILDING (Agenda item 8)	25 - 28	9
	A. Capacity-building in developing countries (non-Annex I Parties)	25 - 28	9
	B. Capacity-building in countries with economies in transition	25 - 28	9
VIII.	METHODOLOGICAL ISSUES (Agenda item 9)	29 - 47	9
	A. Land-use, land-use change and forestry	29 - 33	9
	B. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol	34 - 37	10
	C. Impact of single projects on emissions in the commitment period	38 - 41	11
	D. Other matters	42 - 47	12
IX.	DEVELOPMENT AND TRANSFER OF TECHNOLOGIES: STATUS OF THE CONSULTATIVE PROCESS (DECISION 4/CP.4) (Agenda item 10)	48 - 51	13
X.	"BEST PRACTICES" IN POLICIES AND MEASURES AMONG PARTIES INCLUDED IN ANNEX I TO THE CONVENTION (Agenda item 11)	52 - 55	14

		Paragraphs	Page
XI.	COOPERATION WITH RELEVANT INTERNATIONAL ORGANIZATIONS (Agenda item 12)	56 - 57	15
XII.	OTHER MATTERS (Agenda item 13)	58 - 60	15
XIII.	REPORT ON THE SESSION (Agenda item 14)	61 - 63	16
XIV.	CLOSURE OF THE SESSION	64 - 67	16

Annexes

I.	"Best practices" in policies and measures among Parties included in Annex I to the Convention	17
II.	List of documents before the Subsidiary Body for Scientific and Technological Advice at the first part of its thirteenth session	20

I. OPENING OF THE SESSION
(Agenda item 1)

1. The first part of the thirteenth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) was held at the Palais des Congrès, Lyon, France from 11 to 15 September 2000.

2. The opening of the session was preceded by a welcoming ceremony. Mr. Jan Szyszko, President of the Conference of the Parties, welcomed delegates to Lyon. Mr. Michael Zammit Cutajar, Executive Secretary, Mr. Lionel Jospin, Prime Minister of France, and Mr. Raymond Barre, Mayor of Lyon, addressed the delegates. The meeting observed a minute of silence in memory of Mr. Jean Ripert, the first chairman of the Intergovernmental Negotiating Committee for a Framework Convention on Climate Change and Professor Zhong Shukong, senior environmental adviser in the Ministry of Foreign Affairs of the People's Republic of China.

3. The Chairman of the SBSTA, Mr. Harald Dovland (Norway), opened the formal session on 11 September and welcomed all Parties and observers. He mentioned that negotiations had entered a new phase, being more focused and proceeding at an accelerated pace. He emphasized that delegates should take up the challenge to produce negotiating texts on all issues by the end of the week. He stressed that they would thus lay the basis for success at the sixth session of the Conference of the Parties (COP) and called on delegates to respond to his plea. He concluded by urging Parties to use the limited time available as efficiently as possible. The chairmen reported that the Convention had now received a total of 184 instruments of ratification, acceptance, approval or accession, while the number of ratifications or accessions to the Kyoto Protocol had reached 23. Six new instruments of ratification, acceptance, approval or accession to the Kyoto Protocol were received during the session, raising the total number to 29.

4. At the 2nd meeting, held jointly with the Subsidiary Body for Implementation (SBI) on 11 September 2000, speeches were delivered by Ms Margot Wallström, Commissioner for the Environment of the European Commission, and Ms Dominique Voynet, Minister for Regional Planning and the Environment of France. At the same meeting general statements were made by representatives of 11 Parties, including one speaking on behalf of the Group of 77 and China, one speaking on behalf of the European Community and its member States, and one speaking on behalf of the Environmental Integrity Group.

II. ORGANIZATIONAL MATTERS
(Agenda item 2)

A. Adoption of the agenda
(Agenda item 2 (a))

5. At its 1st meeting, on 11 September, the SBSTA considered the provisional agenda contained in document FCCC/SBSTA/2000/6.

6. The Chairman mentioned that the provisional agenda had been streamlined in order to manage the heavy work programme in preparation for COP 6. As a result, information on several issues which did not require substantive discussion at the current session was presented

in section IV of document FCCC/SBSTA/2000/6 as a means of providing Parties with an update on the status of those issues.

7. The SBSTA adopted the following agenda:

1. Opening of the session.

2. Organizational matters:

   (a) Adoption of the agenda;
   (b) Organization of the work of the session.

3. Implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol).

4. Matters relating to Article 3.14 of the Kyoto Protocol.

5. Procedures and mechanisms relating to compliance under the Kyoto Protocol.

6. Activities implemented jointly under the pilot phase.

7. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol.

8. Capacity-building:

   (a) Capacity-building in developing countries (non-Annex I Parties);
   (b) Capacity-building in countries with economies in transition.

9. Methodological issues:

   (a) Land-use, land-use change and forestry;
   (b) Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol;
   (c) Impact of single projects on emissions in the commitment period;
   (d) Other matters.

10. Development and transfer of technologies: status of the consultative process (decision 4/CP.4).

11. "Best practices" in policies and measures among Parties included in Annex I to the Convention.

12. Cooperation with relevant international organizations.

13. Other matters.

14. Report on the session.

B. Organization of the work of the session

(Agenda item 2 (b))

8. The SBSTA considered this sub-item at its 1st meeting, on 11 September. It had before it the tentative schedule of meetings contained in document FCCC/SBSTA/2000/6, annex II. The Chairman noted that the schedule of meetings should be used as a guide to the organization of work of the SBSTA, but that flexibility would be required to respond to the pace of progress.

III. IMPLEMENTATION OF ARTICLE 4.8 AND 4.9 OF THE CONVENTION (DECISION 3/CP.1 AND ARTICLES 2.3 AND 3.14 OF THE KYOTO PROTOCOL)

(Agenda item 3)

MATTERS RELATING TO ARTICLE 3.14 OF THE KYOTO PROTOCOL

(Agenda item 4)

1. Proceedings

9. The SBSTA considered these items together at its 2nd and 5th meetings, held jointly with the SBI, on 11 and 15 September respectively. It had before it document FCCC/SB/2000/5 and Corr.1.

10. Statements were made by 12 Parties, including one speaking on behalf of the group of least developed countries, one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

11. At the 2nd meeting, held jointly with the SBI on 11 September, Mr. Bo Kjellén (Sweden) and Mr. Abdulmuhsen Al-Sunaid (Saudi Arabia), reported on the informal meetings relating to these items which they had chaired during the pre-sessional week at the request of the chairmen of the subsidiary bodies.

12. Also at the 2nd meeting, the subsidiary bodies agreed to consider these items by way of a joint contact group. The chairmen of the subsidiary bodies appointed Mr. Kjellén and, in the absence of Mr. Mohammad Reza Salamat (Islamic Republic of Iran), Mr. Al-Sunaid to co-chair this contact group.

2. Conclusions

13. At their joint meeting on 15 September, having considered a proposal by the chairmen, the SBSTA and the SBI adopted the following conclusions:

(a) The subsidiary bodies agreed to forward the text contained in document FCCC/SBI/2000/10/Add.1 to the second part of their thirteenth sessions for further consideration. The text is presented without prejudice as to whether one or two draft decisions on agenda items 3 and 4 would be recommended to the Conference of the Parties (COP);

(b) The subsidiary bodies invited their chairmen, with the assistance of the secretariat, to convene inter-sessional consultations on these items. The subsidiary bodies decided to resume negotiations on the two agenda items at the second part of their thirteenth

sessions, on the basis of the text mentioned in subparagraph (a) above, taking into account the report of the inter-sessional consultations;

(c) The subsidiary bodies, on the basis of a statement made by the group of least developed countries, requested the secretariat to organize, before the sixth session of the COP (COP 6), a workshop for these countries to enable them to discuss their specific situations, needs and concerns related to adaptation, capacity-building and development and transfer of technologies, and to formulate and refine their positions with a view to moving negotiations forward at COP 6.

IV. PROCEDURES AND MECHANISMS RELATING TO COMPLIANCE UNDER THE KYOTO PROTOCOL

(Agenda item 5)

1. Proceedings

14. The SBSTA considered this item at its 2nd and 5th meetings, held jointly with the SBI, on 11 and 15 September respectively. It had before it document FCCC/SB/2000/7.

15. Informal meetings had been conducted during the pre-sessional week under the co-chairmanship of Mr. Harald Dovland (Norway), Chairman of the SBSTA, and Mr. Tuiloma Neroni Slade (Samoa).

16. At the joint meeting on 11 September, the chairmen referred discussion of this item to the Joint Working Group on Compliance (JWG) established by decision 8/CP.4,[1] under the co-chairmanship of Mr. Dovland and Mr. Slade.

2. Conclusions

17. At the joint meeting on 15 September, the SBSTA and the SBI took note of the report of the JWG (see FCCC/SBI/2000/10, annex III).

V. ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE

(Agenda item 6)

1. Proceedings

18. The SBSTA considered this item at its 2nd and 5th meetings, held jointly with the SBI, on 11 and 15 September respectively. It had before it document FCCC/SB/2000/6 and Add.1.

19. Statements were made by representatives of 10 Parties, including one speaking on behalf of the European Community and its member States.

2. Conclusions

20. At the joint meeting, on 15 September, the SBSTA and the SBI agreed to consider the draft revised uniform reporting format contained in document FCCC/SB/2000/6/Add.1 at their fourteenth sessions.

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[1] For the full texts of decisions adopted by the Conference of the Parties at its fourth session, see document FCCC/CP/1998/16/Add.1.

VI. MECHANISMS PURSUANT TO ARTICLES 6, 12 AND 17 OF THE KYOTO PROTOCOL

(Agenda item 7)

1. Proceedings

21. The SBSTA considered this item at its 2nd and 5th meetings, held jointly with the SBI, on 11 and 15 September respectively. It had before it the following documents: FCCC/SB/2000/4 and FCCC/SB/2000/MISC.4 and Add.1, Add.2/Rev.1, Add.2/Rev.1/Corr.1 and Add.3.

22. Statements were made by representatives of five Parties, including one speaking on behalf of the European Community and its member States, and one speaking on behalf of the Group of 77 and China.

23. At the joint meeting on 11 September, Mr. Kok Kee Chow (Malaysia) reported on the informal meetings he had chaired on this issue during the pre-sessional week, at the request of the chairmen of the subsidiary bodies. At the same meeting, the subsidiary bodies agreed to consider this item by way of a joint contact group, chaired by Mr. Kok Kee Chow.

2. Conclusions

24. At the joint meeting on 15 September, having considered a proposal by the chairmen, the subsidiary bodies adopted the following conclusions:

(a) The subsidiary bodies considered the note by the chairmen contained in document FCCC/SB/2000/4 and took note of additional submissions by Parties contained in document FCCC/SB/2000/MISC.4 and Add.1-2, Add.2/Rev.1, Add.2/Rev.1/Corr.1 and Add.3, as well as other views expressed by Parties;

(b) The subsidiary bodies noted the progress made in implementing the work programme on mechanisms contained in decision 7/CP.4;

(c) The subsidiary bodies, recalling decision 14/CP.5,[2] agreed to forward to the second part of their thirteenth sessions the note by the chairmen of the subsidiary bodies contained in document FCCC/SBSTA/2000/10/Add.1;

(d) The subsidiary bodies invited the chairmen to further consolidate, in preparation for the second part of their thirteenth sessions, the above-mentioned note in consultation with Parties.

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[2] For the full texts of decisions adopted by the Conference of the Parties at its fifth session, see document FCCC/CP/1999/6/Add.1.

VII. CAPACITY-BUILDING
(Agenda item 8)

A. Capacity-building in developing countries (non-Annex I Parties)
(Agenda item 8 (a))

B. Capacity-building in countries with economies in transition
(Agenda item 8 (b))

1. Proceedings

25. The SBSTA considered these sub-items together at its 2nd and 5th meetings, held jointly with the SBI, on 11 and 15 September respectively. It had before it documents FCCC/SB/2000/8 and FCCC/SB/2000/9.

26. At the joint meeting on 11 September, the Chairman of the SBI, Mr. John Ashe (Antigua and Barbuda), reported on the informal meetings relating to these sub-items which he had chaired during the pre-sessional week.

27. At the same meeting, the subsidiary bodies agreed to consider these sub-items by way of a joint contact group co-chaired by Mr. Ashe and Mr. Jukka Uosukainen (Finland).

2. Conclusions

28. At the joint meeting on 15 September, having considered a proposal by the chairmen, the SBSTA and the SBI agreed to further consider, at the second part of their thirteenth sessions, the draft decisions on capacity-building in developing countries and countries with economies in transition, contained in documents FCCC/SBI/2000/10/Add.3 and FCCC/SBI/2000/10/Add.4 respectively, with a view to recommending draft decisions on these matters for adoption by the Conference of the Parties at its sixth session.

VIII. METHODOLOGICAL ISSUES
(Agenda item 9)

A. Land-use, land-use change and forestry
(Agenda item 9 (a))

1. Proceedings

29. The SBSTA considered this sub-item at its 1st and 3rd meetings, on 11 September, and at its 4th meeting on 15 September. It had before it documents FCCC/SBSTA/2000/9 and Add.1, FCCC/SBSTA/2000/MISC.6 and Add.1-2, FCCC/SBSTA/2000/MISC.8 and FCCC/SBSTA/2000/INF.7/Add.1.

30. Statements were made by representatives of 14 Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

31. At the 1st meeting, Mr. Philip Gwage (Uganda) reported on the informal meetings relating to this issue which he had co-chaired, together with Mr. Halldor Thorgeirsson (Iceland), during the pre-sessional week, at the request of the chairmen of the subsidiary bodies.

32. At the 2nd meeting, the SBSTA agreed to consider this sub-item by way of a contact group co-chaired by Mr. Gwage and Mr. Thorgeirsson.

2. Conclusions

33. At its 4th meeting, on 15 September, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note with appreciation of documents FCCC/SBSTA/2000/9 and Add.1, FCCC/SBSTA/2000/INF.7/Add.1, FCCC/SBSTA/2000/MISC.6 and Add.1-2 and FCCC/SBSTA/2000/MISC.8;

(b) The SBSTA invited the Chairman, with the assistance of the secretariat, to further develop the annex of document FCCC/SBSTA/2000/10/Add.2, taking into account oral and written views expressed by Parties at the first part of its thirteenth session, notably document FCCC/SBSTA/2000/MISC.8, and views expressed by Parties during the inter-sessional informal consultations to be held in Viterbo, Italy, from 9 to 11 October, and to present a revised text for consideration at the second part of its thirteenth session, with a view to recommending draft decision text to the Conference of the Parties at its sixth session, for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session;

(c) The SBSTA, having given initial consideration to country-specific data provided by Parties in accordance with the conclusions of the SBSTA at its twelfth session (FCCC/SBSTA/2000/5, para. 32 (d)), urged Parties that had not yet supplied complete data, to do so by 1 November 2000, using the agreed data format.

B. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol
(Agenda item 9 (b))

1. Proceedings

34. The SBSTA considered this sub-item at its 1st and 4th meetings, on 11 and 15 September respectively. It had before it the following documents: FCCC/SBSTA/2000/7, FCCC/SBSTA/2000/INF.7/Add.1, FCCC/SBSTA/2000/MISC.1/Add.2, and FCCC/SBSTA/2000/MISC.7 and Add.1.

35. A statement was made by a representative of one Party speaking on behalf of the European Community and its member States.

36. At the 1st meeting, on 11 September, Ms Helen Plume (New Zealand) reported on the informal meetings relating to this issue which she had co-chaired with Mr. Festus Luboyera (South Africa) during the pre-sessional week, at the request of the chairmen of the subsidiary bodies. Also at the 1st meeting, the SBSTA agreed to consider this sub-item by way of a contact group co-chaired by Ms Plume and Mr. Luboyera.

2. Conclusions

37. At its 4th meeting, on 15 September, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA agreed to further consider, at the second part of its thirteenth session, guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol, guidelines for the review under Article 8 of the Kyoto Protocol, and possible elements for a draft decision or draft decisions on Articles 5.1, 5.2, 7 and 8 of the Kyoto Protocol;

(b) The SBSTA invited the Chairman to further develop the draft texts relating to guidelines under Articles 7 and 8 of the Kyoto Protocol and methodologies for adjustments under Article 5.2 of the Kyoto Protocol contained in document FCCC/SBSTA/2000/10/Add.3, taking into account views expressed by Parties at the first part of its thirteenth session, in additional submissions and at informal consultations to be held prior to the second part of its thirteenth session (Bonn, 6-8 October 2000), with a view to recommending a draft decision or draft decisions on these matters to the COP at its sixth session, for transmittal to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, for adoption at its first session;

(c) The SBSTA emphasized the need for transparency and regional balance at the above-mentioned informal consultations;

(d) The SBSTA recognized the role of information submitted under the Kyoto Protocol pursuant to Article 7, in demonstrating the progress of Annex I Parties by 2005 towards meeting their commitments under the Protocol in accordance with their national circumstances. The SBSTA decided to further consider this issue in elaborating relevant sections of the guidelines under Article 7 of the Kyoto Protocol.

C. Impact of single projects on emissions in the commitment period
(Agenda item 9 (c))

1. Proceedings

38. The SBSTA considered this sub-item at its 3rd and 4th meetings, on 11 and 15 September respectively.

39. Statements were made by representatives of five Parties, including one speaking on behalf of the European Community and its member States.

40. At the 3rd meeting, on 11 September, the Chairman requested Mr. Ole Plougmann (Denmark) to conduct informal consultations on this issue.

2. Conclusions

41. At its 4th meeting, on 15 September, the SBSTA agreed to consider this issue further at the second part of its thirteenth session, with a view to preparing a draft decision on this matter to be recommended for adoption by the Conference of the Parties at its sixth session.

D. Other matters
(Agenda item 9 (d))

42. The SBSTA considered this sub-item at its 3rd and 4th meetings, on 11 and 15 September respectively. Two separate topics were considered.

Topic 1: Emissions resulting from fuel used in international transportation

1. Proceedings

43. At the 3rd meeting, on 11 September, the secretariat informed the SBSTA of its cooperation with the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO). A written statement from ICAO was made available to the SBSTA. A statement was made by a representative of IMO.

44. Statements were made by representatives of three Parties, including one speaking on behalf of the European Community and its member States.

2. Conclusions

45. At its 4th meeting, on 15 September, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note of the information provided by the secretariat on emissions resulting from fuel used for international transportation, in particular regarding its cooperation with ICAO and IMO. It expressed appreciation for the progress reports provided by ICAO and IMO on their activities regarding mitigation of greenhouse gas emissions from international aviation and shipping;

(b) The SBSTA encouraged ICAO and IMO to complete their work on this matter as soon as possible. It further noted the importance of coordination by Parties at the national level of their work related to greenhouse gas emissions from international aviation and shipping under the UNFCCC, ICAO and IMO.

Topic 2: Methods and tools to assess climate change impacts and adaptation

1. Proceedings

46. At the 3rd meeting, on 11 September, the Chairman drew attention to the question of methods and tools to assess climate change impacts and adaptation. The secretariat made an oral report on a meeting of UNFCCC and IPCC experts on impact and adaptation methodologies.

2. Conclusions

47. At its 4th meeting, on 15 September, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note of the information provided by the secretariat on the UNFCCC/IPCC meeting of experts on climate change impact and adaptation methodologies;

(b) The SBSTA requested the secretariat to organize a workshop with participation of experts from the IPCC and the user community, after acceptance of the IPCC Third Assessment Report. The workshop should explore:

(i) The experience of developing countries in applying current impact and adaptation methodologies and their emerging needs;

(ii) The current state of the art of methods identified in the IPCC Third Assessment Report, and how these apply to the specific circumstances of developing countries;

(iii) Options for improving the quality and dissemination of information on impact and adaptation methodologies;

(c) The SBSTA invited Parties to actively participate in and provide support for the workshop;

(d) The SBSTA invited the secretariat to provide information on the results of the workshop at its fourteenth session.

IX. DEVELOPMENT AND TRANSFER OF TECHNOLOGIES: STATUS OF THE CONSULTATIVE PROCESS (DECISION 4/CP.4)

(Agenda item 10)

1. Proceedings

48. The SBSTA considered this item at its 3rd and 4th meetings, on 11 and 15 September respectively. It had before it the following documents: FCCC/SBSTA/2000/8, FCCC/SBSTA/2000/MISC.4.and FCCC/SBSTA/2000/MISC.9.

49. Statements were made by representatives of seven Parties. A statement was made on behalf of the Climate Technology Initiative of the International Energy Agency.

50. At the 3rd meeting, Ms Jean Cooper (Canada) reported on the informal meetings relating to this issue which she had co-chaired with Mr. Oladapo Afolabi (Nigeria) during the pre-sessional week, at the request of the chairmen of the subsidiary bodies. Also at the 3rd meeting, the SBSTA agreed to consider this sub-item by way of a contact group co-chaired by Ms Cooper and Mr. Afolabi.

2. Conclusions

51. At its 4th meeting, on 15 September, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA considered the report by the Chairman on the status of the transfer of technology consultative process and the draft text of a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention (FCCC/SBSTA/2000/8) and the report presented by the Chairman on the outcome of an informal consultation organized

in Golden, Colorado, United States of America, from 2 to 4 August 2000. It expressed its appreciation for the kind assistance provided by the Government of the United States in providing a venue for the consultation;

(b) The SBSTA considered the above-mentioned draft text of a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention. It agreed to further consider a revised draft text (FCCC/SBSTA/2000/10/Add.4) and additional submissions on this matter received from the Parties, including document FCCC/SBSTA/2000/MISC.9, at the second part of its thirteenth session;

(c) The SBSTA invited the Chairman, with the assistance of the secretariat, to organize an informal meeting and brief presentation at the second part of its thirteenth session on the outcome of the three regional workshops that had been held on the transfer of technology consultative process (one in Africa, one in Asia and the Pacific, and one in Latin America and the Caribbean);

(d) The SBSTA noted the interim report by the Development Assistance Committee of the Organisation for Economic Co-operation and Development on financial assistance for the Rio conventions;

(e) The SBSTA also expressed appreciation to the secretariat for the information it had provided on a preliminary framework for a technology information system. It requested the secretariat to further elaborate a proposal for activities in this area at the second part of its thirteenth session, taking into account (i) the resource implications of continuing this work at different levels of effort and (ii) the discussions held on this proposal.

X. "BEST PRACTICES" IN POLICIES AND MEASURES AMONG PARTIES INCLUDED IN ANNEX I TO THE CONVENTION

(Agenda item 11)

1. Proceedings

52. The SBSTA considered this item at its 3rd and 4th meetings, on 11 and 15 September respectively.

53. At the 3rd meeting, statements were made by representatives of six Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

54. At the same meeting, Mr. José Roméro (Switzerland) reported on the informal meetings related to this issue which he had co-chaired with Mr. Richard Muyungi (United Republic of Tanzania) during the pre-sessional week, at the request of the chairmen of the subsidiary bodies. Also at that meeting, the SBSTA agreed to consider this sub-item by way of a contact group co-chaired by Mr. Roméro and Mr. Muyungi.

2. Conclusions

55. At its 4th meeting, on 15 September, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA continued its consideration, initiated at its twelfth session, of the Chairman's report (FCCC/SBSTA/2000/2) on the workshop held pursuant to decision 8/CP.4 in Copenhagen from 11 to13 April 2000 on "best practices" in policies and measures among Parties included in Annex I to the Convention;

(b) The SBSTA recognized with appreciation the role the workshop had played in helping Parties to advance the work on sharing experience and exchanging information regarding "best practices" in policies and measures;

(c) The SBSTA noted the progress made on this matter and decided to forward the Chairman's report on the workshop to the Conference of the Parties, at its sixth session. It also decided to forward to the second part of its thirteenth session the elements of a draft decision to be recommended to COP 6 on this issue contained in annex I below.

XI. COOPERATION WITH RELEVANT INTERNATIONAL ORGANIZATIONS
(Agenda item 12)

1. Proceedings

56. The SBSTA considered this item at its 3rd and 4th meetings, on 11 and 15 September respectively.

2. Conclusions

57. At its 4th meeting, on 15 September, having considered a proposal by the Chairman, the SBSTA took note of the information provided by the secretariat regarding cooperation between the secretariat and United Nations bodies and other conventions, particularly with the Convention on Biological Diversity (CBD). The SBSTA requested the secretariat to continue cooperation on substantive matters with the CBD and other United Nations conventions and agencies, and to inform it at subsequent sessions of the progress achieved.

XII. OTHER MATTERS
(Agenda item 13)

1. Proceedings

58. The SBSTA considered this item at its 3rd and 4th meetings, on 11 and 15 September respectively.

59. At its 3rd meeting, the SBSTA heard a report by the secretariat on the status of the roster of experts.

2. Conclusions

60. At its 4th meeting, on 15 September, the SBSTA encouraged Parties to nominate additional experts for the roster and to update regularly the information contained in it.

XIII. REPORT ON THE SESSION
(Agenda item 14)

61. At its 4th meeting, on 15 September, the SBSTA considered the draft report on the first part of its thirteenth session (FCCC/SBSTA/2000/L.5).

62. A statement was made by a representative of a Party, speaking on behalf of the African Group, who expressed a need for better understanding of issues on the SBSTA agenda, in particular, methods relating to land-use, land-use change and forestry and Articles 5, 7 and 8 of the Kyoto Protocol. There was also a need to strengthen cooperation with intergovernmental organizations, in particular with the IPCC, to simplify technical reports, and to ensure translation and a wide distribution of these documents. He suggested that the IPCC, in cooperation with the UNFCCC, develop a support programme for African countries on these topics.

63. At the same meeting, on a proposal by the Chairman, the SBSTA authorized the Rapporteur, with the assistance of the secretariat, to complete the report of the session, under the guidance of the Chairman.

XIV. CLOSURE OF THE SESSION

64. At the final joint meeting of the SBSTA and the SBI, on 15 September, statements were made by representatives of three Parties, one speaking on behalf of the least developed countries, one speaking on behalf of the Group of 77 and China and one speaking on behalf of the European Community and its member States. At the same meeting, statements were also made by a representative of the Union of Industrial and Employers' Confederations of Europe on behalf of the business community and a representative from the Consortium for Community Forest Systems on behalf of the indigenous people.

65. The chairmen stated that no new provisional agenda and annotations would be issued for the second part of the thirteenth sessions. The secretariat would prepare a list of documents under the respective agenda items (FCCC/CP/2000/1/Add.2).

66. At the same joint meeting, the chairmen of the subsidiary bodies requested the co-chairs of the contact groups to resume their responsibilities at the second part of the thirteenth sessions, including those who were not able to participate in the first part of the sessions. The chairmen called for co-chairs holding consultations before the second part of the thirteenth sessions to report on those consultations at that part of the sessions.

67. Also at the final joint meeting, the chairmen suspended the sessions of the subsidiary bodies and noted that the sessions would resume in The Hague on 13 November.

Annex I

"BEST PRACTICES" IN POLICIES AND MEASURES AMONG PARTIES INCLUDED IN ANNEX I TO THE CONVENTION

Elements for a draft decision on "best practices" or "good practices" in policies and measures among Parties included in Annex I to the Convention

[*New title:* **Consideration of ways to facilitate cooperation to enhance the individual and combined effectiveness of policies and measures under Article 2.1(b)]**

The Conference of the Parties,

[*Recalling* the relevant provisions of the United Nations Framework Convention on Climate Change, in particular its Article 4.1, 4.2, 4.8 and 4.9 and relevant provisions of the Kyoto Protocol, in particular its Articles 2, 3.2, 3.14 and 7,

Recalling also its decision 8/CP.4 whereby it requested the Subsidiary Body for Scientific and Technological Advice (SBSTA) to undertake preparatory work to enable the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (COP/MOP), at its first session after the entry into force of the Protocol, to consider ways to facilitate cooperation to enhance the individual and combined effectiveness of policies and measures under Article 2.1(b) of the Protocol,

Noting the Chairman's report (FCCC/SBSTA/2000/2) on the workshop held in Copenhagen from 11 to 13 April 2000, pursuant to decision 8/CP.4,

Appreciative of the contribution of the Governments of Denmark and France in sponsoring this workshop,

Recognizing that the implementation of policies and measures is essential to achieve the objective of the Convention and the Protocol,

Recognizing also the value of information exchanges among all Parties on "best" and/or "good" practices in policies and measures which are based on national circumstances, in furthering the objective of the Convention and the Protocol,][3]

1. *Decides* **that the task related to the consideration of ways to facilitate cooperation to enhance the individual and combined effectiveness of policies and measures under Article 2.1(b) requested by decision 8/CP.4 has been completed,**

~~2. *Decides* [**to establish a consultative process to ensure the continuity**] of sharing experience and exchanging information [**among Annex I Parties**] on "best and/or good practices" in policies and measures adopted under Article [4.1 and] 4.2 of the Convention and the policies and measures to be adopted under Article 2 of the Protocol, initiated during the workshop in Copenhagen, and to establish a consultative process~~

[3] This part of the elements of the draft decision is in brackets because it has not been discussed.

2.bis *Decides* [to undertake preparatory work to enable the COP/MOP] to continue [considering ways] to facilitate cooperation [among Annex I Parties] to enhance the individual and combined effectiveness of policies and measures under Article 2. [1] [1(b)] [2.3] of the Protocol, in particular by encouraging [Annex I] Parties to continue sharing experience and exchanging information at a technical level and taking into account national circumstances [and establish a consultative process.]

3. *Decides* that [this consultative process] [future work] should take place [in part] under the guidance [of the Chairman] of the SBSTA, [inter alia] through initiatives involving all Parties and, as appropriate, environmental and business non-governmental organizations, and should include the exchange of information on policies and measures [undertaken by Annex I Parties], inter alia, on all relevant sectors, and cross-cutting issues and on methodological issues related to [assessing/characterizing] the effectiveness of policies and measures. [The process should improve mutual understanding and learning from others' experience on policies and measures implementation and communication.] This could take the form of various initiatives, including workshops and side events during the sessions of the subsidiary bodies;

4. *Decides* [that the process should] [Notes] that the [outcome of the process] **[future work]** should contribute to improving the transparency, effectiveness and comparability of policies and measures **[enable [an assessment of] demonstrable progress of Annex I Parties in achieving their commitments under the Kyoto Protocol and of the actions to minimize the social, environmental and economic impacts of these policies and measures on developing countries, as listed in Article 4.8 and 4.9] [implement and/or elaborate policies and measures in accordance with Article 2.1 of the Kyoto Protocol]** including improvement of the transparency, effectiveness and comparability of policies and measures. To that end it should:

(a) [Enhance transparency in reporting on policies and measures in the national communications of Parties included in Annex I to the Convention and enable] [contribute to the assessment] a demonstration of progress **[in achieving the commitments under the Kyoto Protocol]** by 2005, in the context of Article 3.2 of the Kyoto Protocol, measured, as appropriate, by means of criteria and quantitative parameters;] **[and serve as an input to the reporting requirements on Article 7.2]**

(b) [Serve as an input to the assessment of the [effects and] [social, economic and environmental impacts] impacts of ~~response~~ policies and] measures under ~~Article 4.8 and 4.9 of the Convention and~~ Article 3.14 [Article 2] of the Protocol;]

[Provide an assessment of the actions of Annex I Parties in striving to implement policies and measures in such a way as to minimize adverse effects, including the adverse effects of climate change, effects on international trade, and social, environmental and economic impacts on developing country Parties, as referred to in Article 2.3 of the Kyoto Protocol]

(c) [Provide input to enable further elaboration of the guidelines under Article 7 of the Protocol and of the revised guidelines for national communications by Annex I Parties under the Convention;]

(d) Assist the [Conference of the] Parties in identifying further options for cooperation between [Annex I] Parties to enhance the individual and combined effectiveness of their policies and measures, **[taking into full account Article 2]** pursuant to Article 2.1(b) [and the provision of Article 13.4(d)] of the Protocol;

5. *Requests* the secretariat, under the guidance of the Chairman of the SBSTA and in collaboration with relevant international and intergovernmental organizations active in the area of policies and measures, [including the International Energy Agency, the Organisation for Economic Co-operation and Development, **[the Organization of Petroleum Exporting Countries]**] the Intergovernmental Panel on Climate Change and other relevant international organizations, to support this [process] [future work] by organizing, [*inter alia*] workshops and side events and providing relevant input as appropriate. The secretariat should compile information on policies and measures implemented and planned [under the Convention] by Annex I Parties, and make all related information available in electronic format to the Parties;

6. [*Requests* the secretariat to organize the first workshop [under the consultative process] referred to in paragraph 2 and to report the results [of the initiative listed in paragraph 4] [of the workshop] to the Conference of the Parties at its seventh session. This workshop will be held according to the terms of reference adopted by the SBSTA at its fourteenth session;]

7. [*Invites* [inter alia] **[relevant international and intergovernmental organizations]** the International Energy Agency and the Organisation for Economic Co-operation and Development, [and the Organization of Petroleum Exporting Countries] to present a status report on their activities related to policies and measures and the methodologies to assess their effect, and the Intergovernmental Panel on Climate Change to present a summary of the findings or draft findings of the Third Assessment Report related to policies and measures to the SBSTA at its fourteenth session;]

8. [*Requests* the SBSTA to consider at its [fifteenth session] the **[initial]** results obtained from the actions taken **[the status of the preparatory work] [pursuant to this decision]** under [the consultative process] and to report them to the Conference of the Parties at its seventh session with a view to taking a decision **[considering any further actions]** [on the further action to be taken within this process]in the lead-up to the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, **[as soon as practical thereafter].**

9. *Invites* **[Annex I]** Parties to provide the necessary financial support for the workshops and other activities [conducted under the consultative process] [hereby established **[identified under this decision]**.

Annex II

LIST OF DOCUMENTS BEFORE THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE AT THE FIRST PART OF ITS THIRTEENTH SESSION

FCCC/SBSTA/2000/5	Report of the Subsidiary Body for Scientific and Technological Advice on its twelfth session, Bonn, 12-16 June 2000
FCCC/SBSTA/2000/6	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBSTA/2000/7	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol
FCCC/SBSTA/2000/8	Development and transfer of technologies. Status of the consultative process (decision 4/CP.4). Draft text on a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention. Note by the Chairman
FCCC/SBSTA/2000/9	Methodological issues. Land-use, land-use change and forestry. Consolidated synthesis of proposals made by Parties
FCCC/SBSTA/2000/9/Add.1	Methodological issues. Land-use, land-use change and forestry. Consolidated synthesis of proposals made by Parties. Addendum. Compilation of country-specific data and information as submitted by Parties
FCCC/SBSTA/2000/MISC.1/Add.2	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Views from Parties on national systems, adjustments and guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Submissions from Parties. Addendum
FCCC/SBSTA/2000/MISC.4	Development and transfer of technologies. Status of the consultative process (decision 4/CP.4). Submissions from Parties
FCCC/SBSTA/2000/MISC.6 and Add.1-2	Methodological issues. Land-use, land-use change and forestry. Submissions from Parties on Article 3.3 and 3.4 of the Kyoto Protocol
FCCC/SBSTA/2000/MISC.7 and Add.1	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Submissions from Parties

FCCC/SBSTA/2000/MISC.8	Methodological issues. Land-use, land-use change and forestry. Submissions from Parties
FCCC/SBSTA/2000/MISC.9	Development and transfer of technologies. Status of the consultative process (decision 4/CP.4). Submissions from Parties
FCCC/SBSTA/2000/L.5	Report on the session. Draft report of the Subsidiary Body for Scientific and Technological Advice on the first part of its thirteenth session
FCCC/SBSTA/2000/L.6	Methodological issues. Land-use, land-use change and forestry. Draft conclusions by the Chairman
FCCC/SBSTA/2000/L.6/Add.1	Methodological issues. Land-use, land-use change and forestry. Draft conclusions by the Chairman. Addendum: Recommendation by the Subsidiary Body for Scientific and Technological Advice [Draft decision -/CP.6. Land-use, land-use change and forestry under the Kyoto Protocol]
FCCC/SBSTA/2000/L.7	Methodological issues: Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Draft conclusions by the Chairman
FCCC/SBSTA/2000/L.7/Add.1	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Draft conclusions by the Chairman. Addendum. Possible elements for a decision or decisions related to Articles 5, 7 and 8
FCCC/SBSTA/2000/L.7/Add.2	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Addendum. Draft guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol.
FCCC/SBSTA/2000/L.7/Add.3	Methodological issues. Guidelines under Articles 5, 7 and 8 of the Kyoto Protocol. Draft conclusions by the Chairman. Addendum. Guidelines for review under Article 8 of the Kyoto Protocol
FCCC/SBSTA/2000/L.8	Methodological issues. Other matters. Draft conclusions by the Chairman
FCCC/SBSTA/2000/CRP.7	Methodological issues. Other matters. Report on the UNFCCC/IPCC expert meeting on vulnerability and adaptation methodologies, Lisbon, 10 August 2000
FCCC/SBSTA/2000/CRP.8	Development and transfer of technologies. Status of the consultative process. Draft conclusions by the Chairman

FCCC/SBSTA/2000/CRP.8/Add.1	Development and transfer of technologies. Status of the consultative process. Addendum. Draft framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention (decision 4/CP.4). Draft text by the co-chairs of the contact group
FCCC/SBSTA/2000/CRP.9	"Best practices" in policies and measures among Parties included in Annex I to the Convention. Draft conclusions by the Chairman
FCCC/SB/2000/4	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Consolidated text on principles, modalities, rules and guidelines. Note by the chairmen
FCCC/SB/2000/5	Implementation of Article 4.8 and 4.9 of the Convention. (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol). Matters relating to Article 3.14 of the Kyoto Protocol. Note by the chairmen
FCCC/SB/2000/6 and Add.1	Activities implemented jointly under the pilot phase: Fourth synthesis report on activities implemented jointly and draft revised uniform reporting format
FCCC/SB/2000/7	Procedures and mechanisms relating to compliance under the Kyoto Protocol. Proposals from the co-chairmen of the Joint Working Group on Compliance
FCCC/SB/2000/8	Capacity-building in developing countries (non-Annex I Parties)
FCCC/SB/2000/9	Capacity-building in countries with economies in transition
FCCC/SB/2000/MISC.4 and Add.1, Add.2/Rev.1, Add.2/Rev.1/Corr. 1, and Add.3	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Principles, modalities, rules and guidelines. Additional submissions from Parties
FCCC/SB/2000/CRP.14	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol
FCCC/SB/2000/CRP.14/Add.1 (Volumes 1 – 4)	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Addendum. Consolidated text on principles, modalities, rules and guidelines

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